



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/500,825	07/07/2004	Harald Hofmann	183PUS	8491
27799	7590	02/03/2009	EXAMINER	
COHEN, PONTANI, LIEBERMAN & PAVANE LLP			GRAMLING, SEAN P	
551 FIFTH AVENUE			ART UNIT	PAPER NUMBER
SUITE 1210				2875
NEW YORK, NY 10176				
			MAIL DATE	DELIVERY MODE
			02/03/2009	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/500,825	<b>Applicant(s)</b> HOFMANN ET AL.
	<b>Examiner</b> SEAN P. GRAMLING	<b>Art Unit</b> 2875

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If no period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED. (35 U.S.C. § 133).

Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 31 October 2008.

2a) This action is FINAL.      2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1,2,4-15 and 17-27 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 1,2,4-15 and 17-27 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) Notice of References Cited (PTO-892)  
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  
 3) Information Disclosure Statement(s) (PTO/136/08)  
Paper No(s)/Mail Date 7/14/08

4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_

5) Notice of Informal Patent Application  
 6) Other: \_\_\_\_\_

**DETAILED ACTION**

***Amendment***

1. Acknowledgment is made of Amendment filed October 31, 2008. Claims 3 and 16 are canceled. Claims 1, 4 and 22 are amended. Claims 1-2, 4-15 and 17-27 are pending.

***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. **Claims 1-2, 4-5, 8, 17-24 and 27 are rejected under 35 U.S.C. 102 (e) as being anticipated by Calon et al (US 2002/0118538).**

4. Regarding claim 1, Calon teaches a lamp comprising at least one base (defined as the portion of the device surrounding housing 70) for connection to a luminaire-side lamp fitting; a plurality of LED elements 20, 20' spaced apart from the base and combined to form one module arranged on the base; and at least one non-LED lamp element 10 arranged on the base; wherein the LED elements in the module are aligned in a substantially longitudinal of the lamp (see Figure 1 and paragraphs [0024]-[0026]).

5. Regarding claim 2, the module (defined as the part holding LED's 20, 20' which is secured to the base) is separately formed element and fixed to the base of the lamp (see Figure 1).
6. Regarding claim 4, the LED elements 20, 20' in Calon are aligned essentially along a longitudinal axis of the lamp (see Figure 1).
7. Regarding claim 5, the LED elements 20, 20' in Calon are designed such that they can be dimmed and/or switched on or off (see paragraphs [0027]-[0029]).
8. Regarding claim 8, a bulb element 60 in Calon is provided which at least partially envelops the module (see Figure 1 and paragraph [0025]).
9. Regarding claim 17, the lamp element 10 in Calon has a fluorescent layer 17 (see Figure 1 and paragraph [0025]).
10. Regarding claim 18, the radiation emitted from the LED elements 20, 20' in Calon hits the fluorescent layer of the lamp element 10 (see Figure 1).
11. Regarding claim 19, multiple reflections take place between the fluorescent layer and the module in Calon (see Figure 1).
12. Regarding claim 20, the lamp element 10 in Calon is in the form of a compact fluorescent lamp or a high-pressure discharge lamp (see Figure 1 and paragraphs [0025] and [0028]).
13. Regarding claim 21, the lamp element 10 in Calon is designed such that it can be dimmed and/or switched on or off (see paragraphs [0027] and [0029]).

14. Regarding claim 22, the lamp in *Calon* further comprises a bulb element 60 which at least partially envelops both the module having the LED element 20, 20' and the at least one lamp element 10 of the second type (see Figure 1).
15. Regarding claim 23, the lamp 10 in *Calon* is essentially symmetrical with respect to a central plane of the lamp (see Figure 1).
16. Regarding claim 24, the module in *Calon* is arranged centrally on the base (see Figure 1).
17. Regarding claim 27, the LED elements 20, 20' in *Calon* are each provided on one side of the module (see Figure 1).

***Claim Rejections – 35 USC § 103***

19. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.
20. **Claims 6-7 and 25-26** are rejected under 35 U.S.C. 103(a) as being unpatentable over *Calon* as applied to claim 1 above.
21. Regarding claim 6, *Calon* does not specify that the module be essentially light-permeable. However, it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide a light-permeable module in order to allow the light generated by LED elements 20, 20' to permeate in all directions.

22. Regarding claim 7, *Calon* does not specify that the module be essentially reflective or light-scattering. However, it would have been obvious to one of ordinary skill in the art at the time the invention was made to provide a reflective module in order to maximize the overall output of light generated by LED elements 20, 20'.

23. Regarding claims 25 and 26, *Calon* does not specify the placement of two modules within lamp. However, it would have been obvious to one of ordinary skill in the art at the time the invention was made to duplicate the modules 12 within the lamp in order to increase the illumination of the lamp, and since it has been held that mere duplication of the essential working parts of a device involves only routine skill in the art.

*St. Regis Paper Co. v. Bemis Co.*, 193 USPQ 8.

24. **Claims 9-15** are rejected under 35 U.S.C. 103(a) as being unpatentable over *Calon* as applied to claim 8 above, and further in view of *Chan* (US 2003/0021117). Regarding claims 9-15, *Calon* discloses a bulb element 60 surrounding the LED's 20, 20' and the second lamp element 10, but does not specify that the bulb element be made diffusive plastic material that contains fluorescent material capable of converting UV radiation emitted from the LED elements 20, 20' into visible light. However, *Chan* teaches a plastic bulb element 5 with diffusers made of fluorescent material 1 that would convert UV light components emitted from LED elements 2 into visible light (see Figure 2 and paragraphs [0023] and [0034]). It would have been obvious to one of ordinary skill in the art at the time the invention was made to replace the bulb element 10 in *Calon* with a plastic bulb element with fluorescent diffusers as taught by *Chan* in order to achieve additional white lighting through color blending and a uniform refraction of

light through the bulb element in all directions for environmental lighting (see *Chan*, paragraph [0013]). In regards to claims 11 and 12, *Chan* does not specify that the bulb element 5 be formed through plastic-injection molding and does not specify that the fluorescent diffusers 1 be either admixed to the bulb or part of the plastic. However, the method of forming the bulb element is not germane to the issue of patentability of the lamp itself and therefore has not been given patentable weight.

#### ***Response to Arguments***

18. Applicant's arguments filed October 31, 2008 have been fully considered but they are not persuasive. Regarding claim 1, Examiner respectfully disagrees with Applicant's contention that the LED elements 20, 20' in the module of *Calon* are not "aligned in a substantially longitudinal direction of the lamp". The word "longitudinal" is defined as "extending in the direction of the length of a thing" (see [www.dictionary.com](http://www.dictionary.com)). Accordingly, Examiner respectfully submits that when the lamp module in *Calon* is positioned in the upright position as illustrated in Figure 1, the LED elements 20, 20' are aligned in a substantially longitudinal direction of the lamp in that they extend in the direction of the length (the x-axis) of the lamp. The rejection of independent claim 1 under 35 U.S.C. 102 (e) as being anticipated by *Calon* is therefore maintained. Additional arguments against the rejection of claims 2, 4-15 and 17-27 were not provided. Accordingly, the rejections of these claims are maintained.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SEAN P. GRAMLING whose telephone number is (571)272-9082. The examiner can normally be reached on MONDAY-FRIDAY 7:30 AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra O'Shea can be reached on (571) 272-2378. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Sean P Gramling  
Examiner  
Art Unit 2875

/SPG/  
/Sharon E. Payne/  
Primary Examiner, Art Unit 2875